

**Exercises for 'Functional Analysis 2' [MATH-404]**

(24/03/2025)

**Ex 6.0 (True-or-false : a recap of the first weeks)**

Decide for each of the statements if they are always true or if there are counterexamples. Justify your answers.

- a) Let  $X$  be a vector space and  $A, B \subset X$  be absorbing, balanced, convex sets such that  $A \subset B$ . Then the associated Minkowski-functionals satisfy  $p_B \leq p_A$ .
- b) Let  $X$  be a vector space and  $(A_i)_{i \in I}$  be a family of absorbing sets in  $X$ . Then  $\bigcap_{i \in I} A_i$  is absorbing.
- c) Let  $X$  be a TVS and  $d$  be a metric that generates the topology on  $X$ . If  $d(x_n, x_m) \rightarrow 0$  as  $n, m \rightarrow +\infty$ , then  $(x_n)_{n \in \mathbb{N}}$  is a (topological) Cauchy sequence in  $X$ .
- d) The geometric version of the Hahn–Banach Theorem holds in every metrizable TVS.
- e) A Hausdorff TVS that satisfies the Heine–Borel property is finite dimensional.
- f) Every metrizable TVS that satisfies the Heine–Borel property is finite dimensional.
- g) The space  $\mathcal{D}_K$  is infinite dimensional if and only if  $K$  has non-empty interior.
- h) Let  $X, Y$  be TVS and  $T : X \rightarrow Y$  be linear and continuous. Then  $T$  maps bounded sets to bounded sets.

**Ex 6.1 (The topology induced by a TVS on a linear subspace)**

Let  $(X, \tau_X)$  be a TVS and  $Y$  be a linear subspace. Endow  $Y$  with the topology  $\tau_Y$  with the topology induced by  $X$ ; namely, open sets  $\tilde{U} \in \tau_Y$  are all those of the form  $\tilde{U} = U \cap Y$ , for some  $U \in \tau_X$ . Show the following :

- i) A sequence  $(y_n)_{n \in \mathbb{N}} \subset Y$  converges to  $y \in Y$  w.r.t.  $\tau_Y$  iff it converges to  $y$  w.r.t.  $\tau_X$ .
- ii)  $(Y, \tau_Y)$  is a TVS.
- iii) A sequence  $(y_n)_{n \in \mathbb{N}} \subset Y$  is Cauchy w.r.t.  $\tau_Y$  iff it is Cauchy w.r.t.  $\tau_X$ .
- iv) If  $(X, \tau_X)$  is a LCTVS, then so is  $(Y, \tau_Y)$ .
- v) A set  $E \subset Y$  is bounded w.r.t.  $\tau_Y$  iff it is bounded w.r.t.  $\tau_X$ .
- vi) A set  $E \subset Y$  is compact w.r.t.  $\tau_Y$  iff it is compact w.r.t.  $\tau_X$ .
- vii) If  $(X, \tau_X)$  is topologically complete and  $Y$  is closed w.r.t.  $\tau_X$ , then  $(Y, \tau_Y)$  is topologically complete.
- viii) If  $(X, \tau_X)$  is a Fréchet space and  $Y$  is closed w.r.t.  $\tau_X$ , then  $(Y, \tau_Y)$  is Fréchet.
- ix) If  $(X, \tau_X)$  has the Heine–Borel property and  $Y$  is closed w.r.t.  $\tau_X$ , then  $(Y, \tau_Y)$  has the Heine–Borel property.

**Ex 6.2 (Continuous functions on  $\mathcal{D}(\Omega)$ )**

a) Show that the following linear maps are continuous from  $\mathcal{D}(\Omega)$  to itself :

- i)  $\varphi \mapsto D^\alpha \varphi$  for  $\alpha \in \mathbb{N}_0^d$ ;
- ii)  $\varphi \mapsto \psi \varphi$  for  $\psi \in C^\infty(\Omega)$ ;

iii)  $\varphi \mapsto \{x \mapsto \varphi(\lambda x - z)\}$  with  $\lambda \in \mathbb{R} \setminus \{0\}$  and  $z \in \mathbb{R}^d$  fixed (for  $\Omega = \mathbb{R}^d$ )

b) Show that the inclusion  $\iota : \mathcal{D}(\Omega) \rightarrow C^\infty(\Omega)$  is continuous, where  $C^\infty(\Omega)$  is equipped with the topology inherited from the seminorms  $(p_N)_{N \in \mathbb{N}}$  given in Definition 2.3.

c) Let  $\alpha \in \mathbb{N}_0^d$  and  $\mu$  be a Borel-measure<sup>1</sup> that is finite on compact sets of  $\Omega$ . Show that the linear functional

$$G(\varphi) = \int_{\Omega} D^\alpha \varphi(x) \, d\mu(x)$$

is continuous on  $\mathcal{D}(\Omega)$ .

**Hint:** You may use the following result : given a LCTVS  $Y$ , a linear map  $T : \mathcal{D}(\Omega) \rightarrow Y$  is continuous if and only if it is sequentially continuous in the origin ; cf. Prop. 2.13.

### Ex 6.3 (Non-metrizability of $\mathcal{D}(\Omega)$ )

Let  $\Omega \subset \mathbb{R}^d$  be open and let  $\tau$  be the topology on  $\mathcal{D}(\Omega)$  given by Definition 2.7. Show that this topology is not metrizable.

**Hint:** Recall the Baire category theorem in the following version : Let  $(X, d)$  be a complete metric space, then any countable union of closed sets with empty interior has empty interior.

### Ex 6.4 (The Fourier transformation on $\mathcal{D}(\Omega)$ \*)

Let  $\Omega \subset \mathbb{R}^d$  be open and  $\varphi \in \mathcal{D}(\Omega)$ .

a) Show that  $\varphi \in L^p(\mathbb{R}^d)$  for all  $1 \leq p \leq +\infty$ .

b) By a), the Fourier transform

$$\mathcal{F}[\varphi](k) := \int_{\mathbb{R}^d} e^{-ik \cdot x} \varphi(x) \, dx$$

is well-defined. Show that  $\mathcal{F}[\varphi]$  belongs to  $\mathcal{D}(\mathbb{R}^d)$  if and only if  $\varphi \equiv 0$ .

**Hint:** Consider first the case  $d = 1$ . Define the Fourier transform also for complex arguments and show that this function is holomorphic on  $\mathbb{C}$ . Then recall the identity theorem for analytic functions. When  $d > 1$ , fix  $d - 1$  variables and repeat the one-dimensional strategy.

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1. i.e. a measure defined on the Borel  $\sigma$ -algebra on  $\Omega$  ; in case you haven't discussed general measure theory in your analysis courses, assume that  $d\mu(x) = f(x) \, dx$  with  $f$  locally integrable.